

## 2005 Filings with the SEC

### **SR-MSRB-2005-17 (December 16, 2005)**

Amendments to Rules A-3(f) and A-6(c) Relating to Board Member Compensation

### **SR-MSRB-2005-16 (December 13, 2005)**

Amendment Extends the Expiration Date of the Three-Hour Exception to the 15 Minute Reporting Deadline Under Rule G-14 RTRS Procedures

### **SR-MSRB-2005-15 (October 13, 2005)**

Revisions to the Series 9/10 Examination

### **SR-MSRB-2005-14 (September 12, 2005)**

Amendment to Rule A-8(a), on Adoption of Proposed Rules and Submission to Commission

### **SR-MSRB-2005-13 (June 23, 2005)**

Amendments to Official Statement Delivery Requirements Under Rules G-32, G-36 and G-11

### **SR-MSRB-2005-12 (June 21, 2005)**

Amendments to Rule G-37, on Political Contributions and Prohibitions on Municipal Securities Business, Concerning Solicitation and Payments to Political Parties, and Question and Answer Guidance on Supervisory Procedures Related to Rule G-37(d), on Indirect Violations

### **SR-MSRB-2005-11 (June 8, 2005)**

Interpretive Notice Relating to Definition of "Solicitation" Under Rule G-37, on Political Contributions and Prohibitions on Municipal Securities Business, and Rule G-38, on Solicitation of Municipal Securities Business

#### **Amendment No. 1 (December 7, 2005)**

#### **Amendment No. 2 (March 17, 2006)**

### **SR-MSRB-2005-10 (June 2, 2005)**

Technical Amendment to Rule G-37, on Political Contributions and Prohibitions on Municipal Securities Business

### **SR-MSRB-2005-09 (June 2, 2005)**

Amendments Relating to Month-End Performance Data for Municipal Fund Securities Under Rule G-21, on Advertising

### **SR-MSRB-2005-08 (May 26, 2005)**

Amendments to Rule G-40, on Electronic Mail Contacts, and Form G-40

### **SR-MSRB-2005-07 (April 29, 2005)**

Amendment to Rule G-8 Relating to Delivery of Customer Agreements Containing Predispute Arbitration Clauses

### **SR-MSRB-2005-06 (March 23, 2005)**

Amendment Relating to Frequency of Updates from the National Do-Not-Call Registry Pursuant to Rule G-39

**SR-MSRB-2005-05 (March 21, 2005)**

Amendments to Rule G-8 to Add Requirement for Predispute Arbitration Agreements with Customers and to Rule A-11 to Delete Obsolete References to Arbitrators

**Amendment No. 1 (April 1, 2005)**

**SR-MSRB-2005-04 (March 17, 2005)**

Amendments Relating to Solicitation of Municipal Securities Business Under Rule G-38

**Amendment No. 1 (August 9, 2005)**

**SR-MSRB-2005-03 (March 4, 2005)**

Amendment to Rule G-41, on Anti-Money Laundering Compliance

**Amendment No. 1 (April 25, 2005)**

**SR-MSRB-2005-02 (January 13, 2005)**

Amendments to Rules G-20, on Gifts and Gratuities, and G-8, on Record-keeping

**SR-MSRB-2005-01 (January 5, 2005)**

Interpretive Reminder Notice Regarding Rule G-17, on Disclosure of Material Facts—Disclosure of Original Issue Discount Bonds