

## June 2018 Compliance Calendar

View upcoming compliance dates and events.

**Category**

REMINDER

EFFECTIVE DATE

EVENT

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
				EFFECTIVE DATE Rule G-34, on CUSIP Numbers, New Issue, and Market Information Requirements (MA/BD)		
17	18	19	20	21	22	23
					EVENT Compliance Workshop on MSRB Rule G-17: Making Disclosures to Issuers (MA/BD)	
24	25	26	27	28	29	30
						EFFECTIVE DATE Amended Form G-45 on data collection for 529 plans/ABLE programs (BD)

**Regulated Entity**

MA = Municipal Advisor  
 BD = Broker, Dealer or  
 Municipal Securities Dealer  
 MA/BD = All MSRB-registered firms

Have a suggestion for the compliance calendar? Contact the MSRB at [compliance@msrb.org](mailto:compliance@msrb.org).

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## July 2018 Compliance Calendar

View upcoming compliance dates and events.

**Category**

REMINDER

EFFECTIVE DATE

EVENT

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16 REMINDER Last day to submit input on draft MSRB Rule G-36, on Discretionary Transactions in Customer Accounts. View the Request for Comment (BD)	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31 REMINDER Last day to submit Form G-37 Quarterly Disclosures (MA/BD)  See Rule G-37 Interpretive FAQs				

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## August 2018 Compliance Calendar

View upcoming compliance dates and events.

**Category**

REMINDER

EFFECTIVE DATE

EVENT

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
			1	2	3	4
5	6 REMINDER Last day to submit input on Retrospective Review of 2012 Interpretive Notice Concerning Application of MSRB Rule G-17 to Underwriters of Municipal Securities (MA/BD)	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29 REMINDER Last day to submit Form G-45 for period ending June 30, 2018 First deadline for ABLE program reporting (BD)	30	31	

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